Liquidation Instructions
Precious Metals

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◆E-sign and transmit directly to STRATA ◆ Safely upload supporting documentation ◆ Securely transfer data with SFTP file protocol

Use this form to submit liquidation instructions for precious metals in your STRATA Trust Company ("STRATA") IRA.

Please be sure to include a copy of the dealer's invoice with this form submission to PreciousMetals@StrataTrust.com. For more information on the liquidation process and timelines, visit STRATA's Self-Directed IRA Knowledge Center.

Section 1 Accountholder Information

I am completing this form as: ☐ Accountholder ☐ Beneficiary

Beneficiaries – If you are completing this form as a beneficiary, put the decedent's information in this section.

Accountholder Name		Account Number
SSN / EIN (last 4 digits only)	Date of Birth	Email

Beneficiary Information (applicable only if a beneficiary is filling out this form)

Beneficiary Name		SSN / EIN (last 4 digits only)
Daytime Phone	Date of Birth	Email
Address		
City	State	Zip

Section 2 Liquidation/Sale of Metals

Liquidation/Sale of Metals: I direct STRATA to carry out the liquidation or sale of precious metals according to the instructions below.

Liquidation proceeds to be received: $

Quantity	Specific Description of Metals/Coins	Amount

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Section 3 | Delivery Instructions

Delivery Method of Liquidated Metals:

- Specify how the metals you are liquidating will be delivered to the broker/dealer you have chosen.
- **Fees may be incurred** if metals must be shipped from the depository in which they are currently held in order to complete the liquidation.

☐ **Broker/Dealer Depository Account**

- To use this option, the broker/dealer **must** have an account at the same depository where your metals are held.

Full Name of Broker/Dealer

Dealer Account Number

☐ **Ship Metals to Broker/Dealer**

Delivery Instructions

Dealer Name			
Delivery To			
Shipping Address	Street Address		
	City	State	Zip

Section 4 | Processing Fees

Indicate below how you would like these fees to be paid so that there are no processing delays regarding your request. Refer to STRATA's IRA Fee Schedule for fee details. Typical fees associated with this transaction include liquidation & shipping fees.

- ***I understand*** that shipping fees may be incurred, and ***I will be responsible*** for payment as indicated in Section 3 of this form. Shipping costs will vary based on weight, packaging requirements, handling, insurance, and postage.
- If metals are required to be shipped to process your liquidation, STRATA will **only** calculate the processing fees associated with the liquidation. All shipping fees will be calculated by the depository in which your metals are presently held.

☐ Deduct processing fees from cash in the account. I understand this could result in a lesser amount being remitted if there is not sufficient cash in the account.

☐ Charge fees to the credit card on file (Fee Payment Authorization form must have been previously submitted for this to be a valid option).

☐ One-time charge to a credit card (Credit Card Charge Authorization (One-Time Charge) form required).

☐ I acknowledge that shipping fees may be incurred, and I will be responsible for payment as indicated in Section 3 of this form. Shipping costs will vary based on weight, packaging requirements, handling, insurance, and postage.

Section 5 | Terms and Conditions

Important: Please read the following disclosures before signing this document.

STRATA Has No Affiliation with Any Precious Metals Broker/Dealer or Any Sales Representative
As a directed custodian, STRATA is not, in any way, affiliated with any precious metals dealer, and does not sell any investments, sponsor, or otherwise endorse any dealer or investment or offer investment, tax, or legal advice of any kind. As a directed custodian, STRATA provides only the required administration, custody, and reporting required for alternative assets. STRATA does not conduct a due diligence review of any dealers. Other than to ensure compliance with applicable alternative asset custody laws and regulations, STRATA will not – in any way – review, analyze, or approve the specific financial details of your precious metals' transaction (including but not limited to, any fees or commissions charged by any dealer or the price or value of the precious metals you purchase).

Your STRATA Account Is Self-Directed – You are Wholly Responsible for All Decisions Regarding Your Transactions
As the accountholder, you determine and select the dealer to be used for your precious metals transactions. YOU ARE WHOLLY RESPONSIBLE FOR THIS DECISION. You are responsible for all necessary due diligence regarding your dealer. You are responsible for all the details of your precious metals transaction, including the negotiation of any precious metals that you direct for purchase, sale, or exchange, as well as the price negotiated directly with the dealer and any commissions or fees the dealer will charge. You may obtain quotes from several dealers. All transactions within your STRATA account are directed and authorized solely by you. As a result, you agree to hold STRATA harmless, in all respects from any loss, cost, court costs, expenses, or other damages resulting from your investment instructions.

Fees
All precious metals IRA fees must be paid prior to any transaction. Please refer to STRATA's IRA Fee Schedule for more information.

Cancellations
If either you or the dealer decide to cancel a precious metals purchase, sale or exchange after the terms have been settled and instructions are sent to STRATA, the cancellation must be agreed upon by both parties in writing and submitted to STRATA within two business days. It is the IRA accountholder's responsibility to notify STRATA. Transaction processing fees will not be refunded.

I direct STRATA to execute the above precious metals liquidation with the dealer described above for the benefit of my account. In doing so, I hereby make the following acknowledgments, agreements, and representations:

1. I have evaluated the risks involved with this transaction and have conducted the due diligence I felt appropriate for the dealer I have selected. I am solely responsible for the choice of precious metals dealer, and STRATA has made no representations or recommendations with regard to this dealer.
2. I understand that any missing information or incomplete forms may cause delays in processing this direction and in releasing the payment or asset, and I agree that STRATA shall not be responsible for any damages which may result from any information not provided by me when submitting this investment direction or by any inaction by the dealer.
3. I certify that the precious metal investment(s) directed herein is/are not prohibited transactions as defined in the Internal Revenue Code; that the investments are in precious metals that are permitted to be held in an IRA pursuant to IRC 408(m)(3)(A)(i)-(iv) and 408(m)(3)(B); and that I have consulted with a legal or tax professional to the extent necessary for me to make this certification. I certify that I have not received any legal or tax advice from STRATA or its employees.
4. Regarding this Investment Direction, I take sole responsibility for the selection and performance, including the future value, of the precious metals purchased, exchanged, or sold. I have received no investment or other advice from STRATA or its employees, nor any recommendation as to any sale, and I agree to hold STRATA harmless from any damages resulting from its execution of these Liquidation Instructions.
5. STRATA is not responsible for the purity, weight, metal content, or authenticity of any coins or bullion purchased. Likewise, STRATA is not responsible for the conduct, action, failure, breach, or omission of the Dealer or any resulting damages.
6. Values for precious metals shall reflect the spot value, which is the current spot price multiplied by the ounces of fine metal contained in the coin or bar. Spot values do not include any mark-ups, mark-downs, premiums, or commissions. Spot values should be used as an indication of value only and should not be construed as a firm bid price to buy by any Dealer. The actual precious metals type and quantity of a transaction may affect the price received for any given bullion item. Proof coins must be encapsulated in complete, original mint packaging, including certificate of authenticity, and in excellent condition. Non-proof coins must be in Brilliant Uncirculated condition and free from damage. Price indications for specific bullion products may be obtained from various sources, including your Dealer or on the internet at sites such as www.BullionValues.org.

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7. I understand that an investment in precious metals is dependent on global markets and other factors and there may be fluctuations in the price of the precious metals, and I direct STRATA to process this transaction on behalf of my Account by way of this Investment Direction despite such fluctuations. I agree to waive any claims that I may have, past, present, or future, known or unknown, anticipated or unanticipated, with respect to the fluctuation or change in the price or condition of any precious metals.

8. I hereby indemnify and hold harmless STRATA from any and all damages arising from or in connection with this Investment Direction.

_______________________________ Accountholder Signature

_______________________________ Date

Form Submission Options

- Fax: 512.495.9554
- Email: PreciousMetals@StrataTrust.com
- US Mail: PO Box 849, Waco, TX 78767
- Overnight: 901 S. Mopac Expy, Barton Oaks Plaza II, Ste100 Austin, TX 78746